TFW Receipt

ATTORNEY'S DOCKET NO.: C1040.70006US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

McCluskie et al.

Serial No.:

09/316,199

Conf. No.:

7506

Filed:

May 21, 1999

For:

METHODS AND PRODUCTS FOR INDUCING MUCOSAL IMMUNITY

Examiner:

D. Nguyen

Art Unit:

1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 20th day of August, 2004.

Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

REQUEST FOR CORRECTION OF FILING RECEIPT

Sir:

Please make the following corrections as indicated in red on the attached copy of the Filing Receipt:

Please add the Domestic Priority Data as follows:

-- This application claims benefit of 60/086,393 filed, May 22, 1998 --

This priority information was previously provided in the earlier filed Declaration for Patent Application.

Please add the information as listed above and as indicated in red on the enclosed copy of the Filing Receipt. Applicant respectfully requests that this correction be made and a corrected Filing Receipt be issued. If you have any questions, please do not hesitate to call the undersigned at (617) 646-8000.

> Respectfully submitted, McCluskie et al., Applicants

Maria A. Trevisan, Reg. No. 48,207

Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 646-8000

Docket No. C1040.70006US00

Date: August 20, 2004

xNDDx

FILING RECEIPT *OC00000004986444*



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: ASSISTANT SECRETARY AND

COMMISSIONER OF PATENT AND TRADEMARKS

Washington, D.C. 20231

APPLICATION NUMBER FILING DATE GRP ART UNIT FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

09/316,199

05/21/1999

1614

C1040/7006HC

13

124

12

HELEN C LOCKHART WOLF GREENFIELD & SACKS PC 600 ATLANTIC AVENUE BOSTON, MA 02210



Date Mailed: 03/09/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael J McCluskie, ottawa, CANADA; Heather L Davis, Ottawa, CANADA;

Continuing Data as Claimed by Applicant

-- Claims benefit of 60/086,393 n Applications filed, man 12,1998 --

Foreign filing license granted on 09/22/1999

Title

METHODS AND PRODUCTS FOR INDUCING MUCOSAL IMMUNITY

Preliminary Class

514

Data entry by: WASHINGTON, KAREN

Team : OIPE

Date: 03/09/2000.

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

ATTORNEY'S DOCKET NO.: C1040.70006US00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

McCluskie et al. 09/316,199

Serial No.: Conf. No.:

7506

Filed:

May 21, 1999

For:

METHODS AND PRODUCTS FOR INDUCING MUCOSAL IMMUNITY

Examiner:

D. Nguyen

Art Unit:

1632

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 20th day of August, 2004.

Nancy McCarthy

Office of Initial Patent Examination's Filing Receipt Corrections Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

[X] Request for Corrected Filing Receipt

[X] Copy of filing receipt with correction in red

[X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

No fee is enclosed. If a fee is necessary, the Commissioner is hereby authorized to charge Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted, McCluskie et al., Applicants

Maria A. Trevisan, Reg. No. 48,207 Wolf, Greenfield & Sacks, P.C.

600 Atlantic Avenue

Boston, Massachusetts 02210-2211

Telephone: (617) 646-8000

Docket No. C1040.70006US00 Date: August 20, 2004

xNDDx